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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF: OGAWA

SERIAL NUMBER: 10/092,105

FILED: March 6, 2002

FOR: OPTICAL RECORDING MEDIUM AS WELL AS METHOD...

DOCKET: NEC PNDF-01247

COPY

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

SUBMISSION OF MISSING DOCUMENTS

AND PRIORITY DOCUMENT

Dear Sir:

Submitted herewith are the following documents:

- (1) original Declaration and Power of Attorney (2 pages);
 - (2) original Assignment with Form PTO-1595 cover sheet;
 - (3) Form PTO-2038 in the amount of \$40.00 to cover the cost of recording the Assignment; and
 - (4) certified copy of Japanese Patent Application No. 2001-062216 filed March 6, 2001, in support of Applicant's priority claim under 35 USC 119.
- COPY**

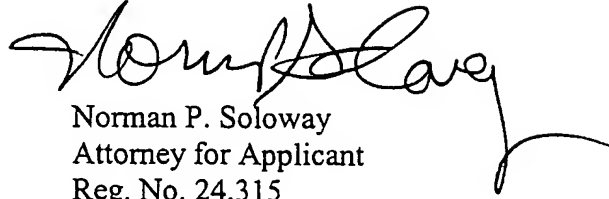
This Submission of Missing Documents is being submitted within three (3) months of the filing date. Therefore, we believe there are no fees involved with this submission. However, in the event there are any fee deficiencies or additional fees payable, please charge them (or credit any overpayment) to our deposit account number 08-1391.

HAYES SOLOWAY P.C.
130 W. CUSHING ST.
TUCSON, AZ 85701

TEL. 520.882.7623
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COPY

Respectfully submitted,



Norman P. Soloway
Attorney for Applicant
Reg. No. 24,315

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on April 26, 2002, at Tucson, Arizona.

By Sharon McKniff

COPY

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COPY OF PAPERS
ORIGINALLY FILED

Mmm



YP #3

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF: OGAWA

SERIAL NUMBER: 10/092,105

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FOR: OPTICAL RECORDING MEDIUM AS WELL AS METHOD...

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BOX MISSING PARTS

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed April 10, 2002 (copy attached), submitted herewith are the following documents:

(1) copy of Submission of Missing Documents mailed April 26, 2002, which includes a copy of the original Declaration previously submitted; and

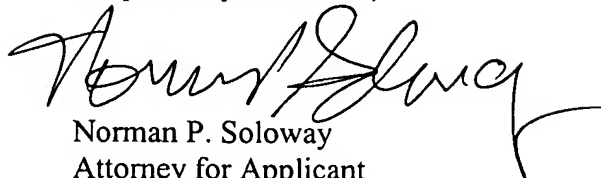
(2) PTO Form 2038 in the amount of \$130.00 to cover the surcharge for late filing of declaration.

In the event there are any fee deficiencies or additional fees payable, please charge them (or credit any overpayment) to our deposit account number 08-1391.

HAYES SOLOWAY P.C.
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Respectfully submitted,



Norman P. Soloway
Attorney for Applicant
Reg. No. 24,315

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on May 1, 2002, at Tucson, Arizona.

By Sharon McKnight

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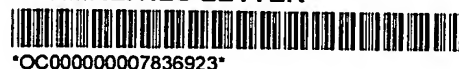
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/092,105	03/06/2002	Masatsugu Ogawa	NEC PNDF-01247

HAYES SOLOWAY PC
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Manchester, NH 03101

CONFIRMATION NO. 7971

FORMALITIES LETTER



OC000000007836923

Date Mailed: 04/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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